

# Facilitating the Use of Satellite Observations for Evaluating CMIP5/IPCC Simulations



## Converting NASA Satellite Datasets for the CMIP5/IPCC Assessment

Robert Ferraro, Duane Waliser, Joao Teixeira

Jet Propulsion Laboratory, California Institute of Technology, Pasadena, CA

Peter Gleckler, Karl Taylor

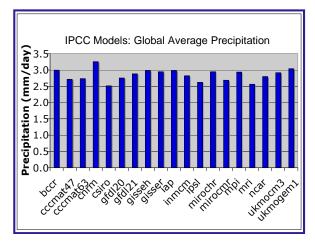
Program on Climate Modeling Diagnostics and Intercomparison (PCMDI), Livermore, CA

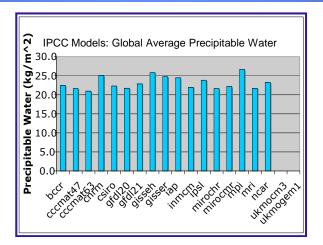
Copyright 2011 California Institute of Technology. All rights reserved.

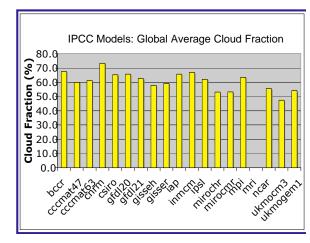


## MOTIVATION: Examples of Where Satellite Data Have Helped and Where They Have Been Missing

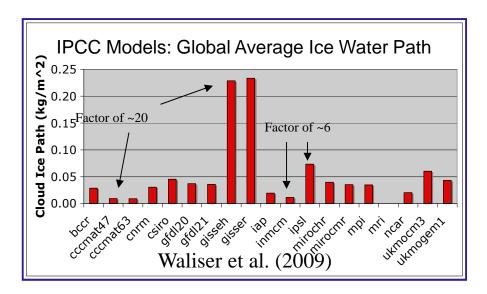








NASA/NOAA/DOD HAVE PRODUCED OBSERVATIONS OF PRECIPITATION (E.G., TRMM-NASA), COLUMN WATER VAPOR (E.G. SSM/I - DOD), AND CLOUD FREQUENCY (ISCCP-NASA/NOAA) THAT HAVE LED TO RELATIVELY GOOD MODEL-MODEL AND MODEL-OBS (NOT SHOWN) AGREEMENT.



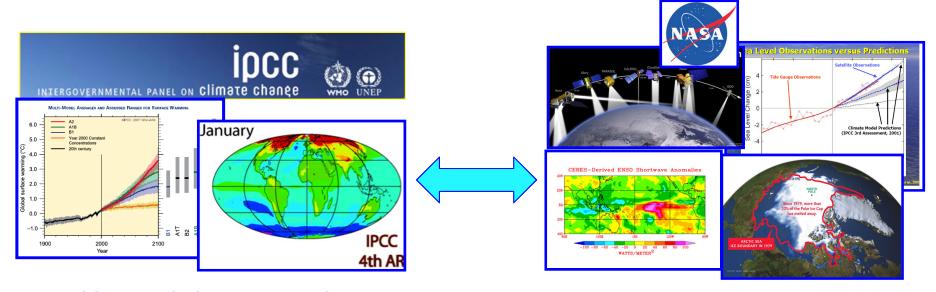
BUT LOOK AT CLOUD ICE —
NO ROBUST SATELLITE
MEASUREMENTS (UNTIL VERY
RECENTLY). THIS LEADS TO POOR
MODEL-MODEL AGREEMENT AND THUS
IMPLICITLY MODEL-OBS AGREEMENT.
&

**UNCERTAINTY IN CLIMATE PROJECTIONS** 



## NASA and CMIP/IPCC: Better Linkage





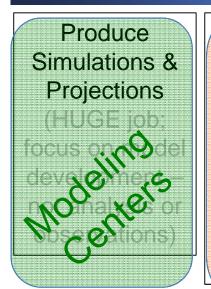
How to bring as much observational scrutiny as possible to the IPCC process?

How to best utilize the wealth of NASA Earth observations for the IPCC process?



## Modelers, PCMDI, JPL/NASA, Community Who does what?





Model output archived in a uniform fashion to facilitate access and analysis. (Far

from trivial

see below

Sophisticated development and application of model diagnostics for evaluation

but which

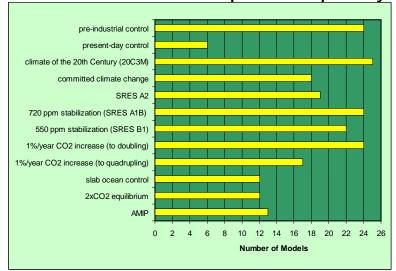
ones?

Identify and deliver/archive observations in form useful for model analysis

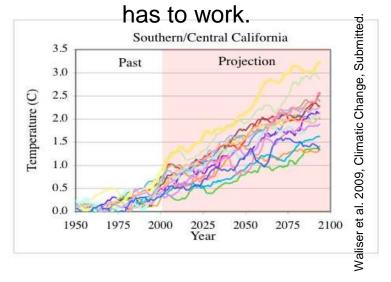


Develop global observations relevant to climate change research

**Enormous Model Output/Complexity** 



To quantify and reduce uncertainty, this chain





### What to do about the weak link?



- Taylor et al (2009) have defined the protocol for the CMIP5 simulations that will be used for the next IPCC Assessment Report, AR5.
- The protocol defines the scope of simulations that will be undertaken by the participating modeling groups.
- For several of the prescribed retrospective simulations (e.g, decadal hindcasts, AMIP and 20th Century coupled simulations) observational data sets can be used to evaluate and diagnose the simulation outputs.
- However, to date, the pertinent observational data sets to perform these particular evaluations have not been optimally identified and coordinated to readily enable their use in the context of CMIP5.

Observations may match model variables but usually differ in file format, metadata standard, and may have subtle characteristics that are not obvious to the non-expert

Observational Scientists make datasets for process studies – not for model evaluation

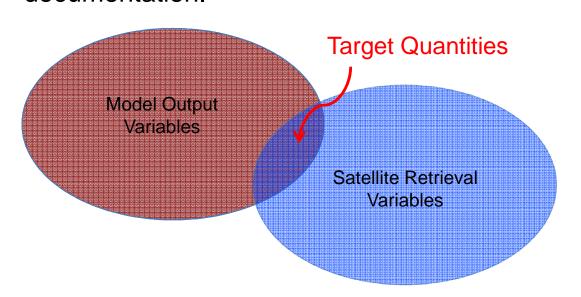


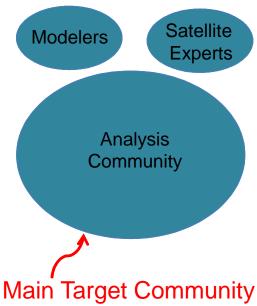
## Satellite Observations for CMIP5 Simulations Some Basic Tenets of this Activity



- •To provide the community of researchers that will access and evaluate the CMIP5 model results access to *analogous sets* (in terms periods, variables, temporal/spatial frequency, dissemination) of satellite data.
- To be carried out in close coordination with the corresponding CMIP5 modeling entities and activities – in this case PCMDI and WGCM.

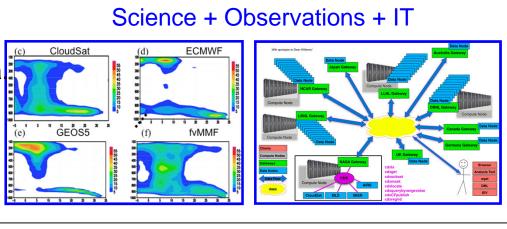
 To directly engage the observational (e.g. mission and instrument) science teams to facilitate production of the corresponding data sets and documentation





# PCC AR5 – Making Better Use of Observations Characterizing and Reducing Uncertainties PCMDI

- •JPL/NASA is leading an effort with PCMDI/DOE to identify and deliver a number of NASA satellite datasets <u>tailored</u> for IPCC model-data comparison.
- •Community to have simultaneous access to model output and satellite observations similarly formatted to facilitate model evaluation.
- Use observation-based "metrics" to assess model capabilities of representing past climate -> use to weight models' climate projections.
- Publishing observations now for model evaluations and timely submission of research articles -> IPCC AR5 to be published in 2013.
- NASA funded JPL pilot project to identify & deliver selected satellite data.
- •JPL led organization of two workshops
  - 1) Mission/Science -> Data Sets
  - 2) Mission/IT -> Infrastructure





## Satellite Observations for CMIP5 Simulations Main Tasks for CMIP5



- 1. Use the CMIP5 simulation protocol (Taylor et al. 2009) as guideline for deciding which observations to stage in parallel to model simulations.

  Target is monthly averaged (CMON, AMON) products on 1 x 1 degree grid
- Work with satellite community to identify data sets [e.g., AIRS, MLS, TES, QuikSCAT, CloudSat, Topex/Jason, CERES, TRMM, AMSR-E, TRMM]
- 3. Work with observational teams to produce 6-8 page Technical Note describing strengths/weaknesses, uncertainties, dos/don'ts regarding interpretations comparisons with models. (at graduate student level)
- Transform satellite observations into CMIP5 compliant format (to the greatest extent possible should look like model output)
   Not new products, but reformatted existing products, with perhaps some interpolation
- 5. Provide a strategy for accessing them that has close parallels to the model data archive (e.g. ESG).
- 6. Advertise availability of observations for use in CMIP5 analysis.



## NASA Recommended Datasets for CMIP5



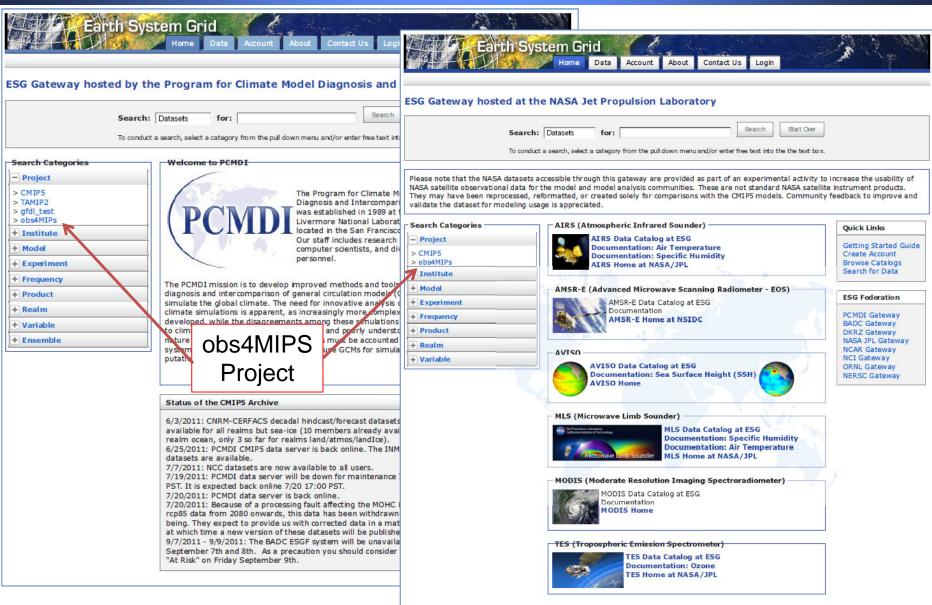
Match up of available NASA datasets to PCMDI priority list

Model	Dataset	Time Period	Comments
Atm Temperature (200,850hPa)	AIRS (≥ 300 hPa) MLS ( < 300 hPa)	9/02 – 8/04 -	AIRS +MLS needed to cover all pressure levels
Specific humidity (200, 850 hPa)	AIRS (≥ 300 hPa) MLS ( < 300 hPa)	9/02 – 8/04 -	AIRS +MLS needed to cover all pressure levels
Surface (10m) zonal and meridional wind	QuikSCAT	1999 – 2009	Oceans only. No land products.
Sea surface temperature	AMSR-E	6/02 -	SST science team recommends multiple products
TOA reflected shortwave radiation and OLR	CERES	3/00 -	
TOA longwave and shortwave TOA clear-sky fluxes	CERES	3/00 -	
Total precipitation	TRMM	1997 -	
Cloud fraction	MODIS	2/00 -	
Sea surface height	TOPEX/JASON series	10/92 -	Project scientist recommends converting the AVISO product
Ozone	TES (standard pressure levels	2004 -	
Land Surface products (TBD)	MODIS	2/00 -	Perhaps 2 CMIP variables, TBD



# Satellite Observations for CMIP5 Simulations ESG-JPL Gateway: Side by Side Archive







### Satellite Observations for CMIP5 Simulations "Technical Note"



## Target audience is modeling community members who have little experience with NASA datasets

### Content

Intent of the Document/POC

**Data Field Description** 

**Data Origin** 

Validation and Uncertainty Estimate

Considerations for Model – Observation Intercomparison

Instrument Overview

References

**Revision History** 

Guidance documents (and project related stuff) posted at

http://oodt.jpl.nasa.gov/wiki/display/CLIMATE



### Satellite Observations for CMIP5 Simulations Example Technical Note – AIRS



### Atmospheric Infrared Sounder/Advance Microwave Sounding Unit (AIRS/AMSU)

#### **Air Temperature Description**

#### 1. Intent of This Document

1a) This document is intended for users who wish to compare satellite derived observations with climate model outputs in the context of the CMIP5/IPCC historical experiments. Users are not expected to be experts in satellite derived Earth system observational data. This document summarizes essential information needed for comparing this dataset to climate model outputs. References are provided at the end of this document to additional information for the expert user.

This NASA dataset is provided as part of an experimental activity to increase the usability of NASA satellite observational data for the model and model analysis communities. This is not a standard NASA satellite instrument product. It may have been reprocessed, reformatted, or created solely for comparisons with the CMIP5 model. Community feedback to improve and validate the dataset for modeling usage is appreciated. Email comments to  $\underline{HQ\text{-CLIMATE-OBS@mail.nasa.gov}}$ .

Dataset File Name (as it appears on the ESG):

ta\_AIRS\_L3\_RetStd-v5\_200209-201105.nc taStderr\_AIRS\_L3\_RetStd-v5\_200209-201105.nc taNobs\_AIRS\_L3\_RetStd-v5\_200209-201105.nc

1b) Technical point of contact for this dataset:

Baijun Tian, Baijun.Tian@jpl.nasa.gov

#### 2. Data Field Description

CF variable name, units:	ta, K.	
Spatial resolution:	The vertical resolution is determined by the CMIP5 mandatory levels. The longitude and latitude resolution is 1 degree by 1 degree.	
Temporal resolution and extent:	This data product is a regularly gridded, monthly averaged air temperature measured by AIRS between September 2002 and May 2011.	
Coverage:	Global.	

Note: The vertical pressure levels (plev) include all the CMIP5 mandatory levels from 1000 hPa to 10 hPa. However, we only provide the valid data up to 300 hPa and assign a missing value

(1.e20) for levels above 300 hPa because AIRS measurements are not as reliable for levels above 300 hPa as other instruments such as Microwave Limb Sounder (MLS), which is specially designed for the accurate measurements of the atmospheric profiles in the upper troposphere and lower stratosphere. The MLS measurements for levels above 300 hPa are provided as a separate dataset. It is therefore highly recommended for a user to combine the AIRS and MLS datasets to create a complete temperature profile from 1000 hPa to 10 hPa.

#### 3. Data Origin

The data used to make this product was obtained from the Goddard Earth Science (GES) DISC data access [1].

The AIRS/AMSU instrument suite is carried on the NASA Aqua spacecraft, in a sunsynchronous orbit at 1:30 local time. The southward/northward moving observations are obtained during daytime/nighttime. (See Section 6 below for an Overview of the AIRS/AMSU instrument suite.) The AIRS/AMSU air temperature is derived from infrared and microwave radiances measured from space, so is not an *in situ* measurement. The infrared emission radiations emitted by different Earth scenes are remotely sensed by a spectrometer, and the microwave observations are obtained by a radiometer [2]. First, measurements are transformed into calibrated radiances for all footprints and all channels [3]. Then, physical quantities such as the air temperature are derived ('retrieved') from these geolocated radiance products [4]. The retrieved physical quantities are then averaged over a month [5]. The data we obtained from the GES DISC [1] was at this last processing level. We then applied an additional processing step to adapt the data according to the CMIP5 model output format.

This data product is the monthly average of the AIRS/AMSU retrieved temperature profiles in the regularly gridded 1 degree by 1 degree latitude and longitude boxes. The AIRS/AMSU temperature profile is originally in 24 pressure levels that are the superset of the CMIP5 mandatory levels. The temperature values at only the CMIP5 levels are included in this data product.

The values described here are means of the daytime and nighttime values, provided there are enough observations in each category to make the values statistically significant. The minimum is 20 observations each, except for latitudes beyond +/- 80 degrees, where we relax the limits to compensate for a much lower number of observations. Since clouds have a significant effect on observed infrared radiances (see section 5.1 below), the retrieval process includes steps to retrieve the temperature from radiance in the presence of clouds. The horizontal resolution of each AIRS/AMSU scene is 45 km, and the instrument samples in a swath are 30 scenes wide (see Figure 3 below), yielding 324,000 scenes per day. However, the atmospheric temperature can be inferred in about 70% of these scenes, with the remainder affected by thick clouds or precipitation.

#### 4. Validation



## Satellite Observations for CMIP5 Simulations Example Technical Note – AIRS



AIRS retrievals have been validated against a variety of in situ data (radiosondes, ship-based measurements), other remote measurements, from other satellites, and model-generated data. The table below summarizes these findings and can be found in reference [6].

Geophysical Conditions Studied	Uncertainty Estimate
Non-polar ocean, surface to lower stratosphere	1K
Non-polar land, 1-2 km to lower stratosphere	1K
Non-polar land, surface to 1-2 km	1-2 K
Polar	1-2 K

Table 1: uncertainty estimate for different conditions.

The uncertainty estimates are calculated based on the difference between AIRS retrievals and radiosonde observations and are valid in the troposphere, between the boundary layer and 300 hPa.

#### 5. Consideration for Model-Observation Comparisons

Because this data product is observational data, there are several aspects that distinguish this product from model outputs. The user of this data product should be aware of them in order to make judicious model-observation comparisons.

#### 5.1 Clouds influence

AIRS/AMSU coverage is limited by the presence of optically thick clouds because AIRS is an infrared instrument. The combination of infrared and microwave radiances allows retrieval of high-resolution temperature profiles for infrared cloud fraction (the product of emissivity and coverage) up to about 70% [7]. While AMSU retrievals based purely on microwave observations are currently available, they are not included with the AIRS/AMSU retrievals because of significantly lower vertical resolution. This limitation of the infrared measurement makes the AIRS/AMSU observation scene dependent and in turn, causes a spatially inhomogeneous sampling as illustrated on Figure 1. The AIRS sampling is low (~40) in cloudy regions, such as the Intertropical Convergence Zone (ITCZ) (e.g., the equatorial western Pacific warm pool) and the midlatitude storm tracks (e.g., north Pacific, north Atlantic and 60°S latitude belt). The AIRS sampling is high (~150) in clear regions, such as subtropics and midltitude land regions. See reference [8] for more on the implication of cloud-induced sampling in AIRS/AMSU observations.

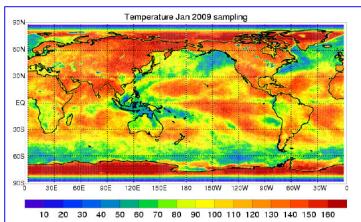


Figure 1: Temperature sampling at 500 hPa for the month of January 2009.

#### 5.2 Temperature bias trend

There is a spurious trend in AIRS temperature retrievals, giving an apparent cooling of the lower troposphere of ~100 mK/year. This is suspected to come from incorrect handling of rising atmospheric CO<sub>2</sub> levels [9], and should be reduced in the next release of AIRS data.

#### 5.3 Asynoptic Time Sampling

Because Aqua satellite is in a sun-synchronous polar orbit, AIRS samples the atmosphere at two fixed local solar times (e.g. 1:30 AM and 1:30 PM at the equator) and cannot fully resolve the diurnal cycle. In contrast, typical model monthly averaged outputs contain the averaged values over a time series of data within a fixed time interval (e.g. every 6 hours). For temperature over ocean and in the upper atmosphere with a small diurnal cycle, this difference is not likely a problem. However, for temperature in the boundary layer or over land regions strongly influenced by the diurnal cycle, this time sampling limitation should be considered.

#### 5.4 Inhomogeneous Sampling

Because the monthly averaged value in this AIRS data product is an average over observational data available in a given grid cell (see Figure 1), the number of samples used for averaging varies with the geo-location of the cell. Because of the convergence of longitude lines near the poles, the time range of data collection broadens as one moves from the equator toward either pole, with the ranges in the polar regions including all times of day and night [10]. So, there are more observations in the regions near the poles (~70° to ~85°) than the rest of the area.

#### 5.5 Missing data

AIRS went into a safe mode at the end of October 2003 to avoid possible damage from a large solar flare. It did not resume data flow until mid November 2003. Our preparation of this product for CMIP5 added a requirement of a minimum number of observations for each grid square from each of ascending and descending orbits. With only half of a month data, many



## Satellite Observations for CMIP5 Simulations Example Technical Note – AIRS



grids cells do not meet these criteria for November 2003. The only significant outage since December 2003 was the safe mode event from January 9<sup>th</sup> to the 26<sup>th</sup>, 2010. So the January 2010 product has about half the data of a full month. However, there should be no bias introduced in comparing the data from January 2010 to January of other years.

Furthermore, we excluded the AIRS data within 100 hPa above the land surface. As a result, most AIRS data are missing over land for 1000 hPa and 925 hPa levels.

#### 6. Instrument Overview

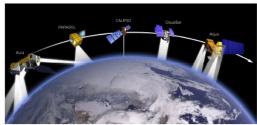


Figure 2: NASA's A-train group of Earth observing satellites.

Launched into Earth-orbit on May 4, 2002, Aqua is part of NASA's "A-train" satellite constellation (see Figure 2), a series of high-inclination, Sun-synchronous satellites in low Earth orbit designed to make long-term global observations of the land surface, biosphere, solid Earth, atmosphere, and oceans. The Atmospheric Infrared Sounder (AIRS) and its partner microwave

instrument, Advanced Microwave Sounding Unit (AMSU), share the Aqua satellite with the Moderate Resolution Imaging Spectroradiometer (MODIS), Clouds and the Earth's Radiant Energy System (CERES), and the Advanced Microwave Scanning Radiometer-EOS (AMSR-E). AIRS/AMSU observe the global water and energy cycles, climate variation and trends, and the response of the climate system to increased greenhouse gases. The term "sounder" in the instrument's name refers to the fact that temperature and water vapor are measured as functions of height.

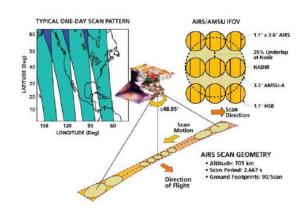


Figure 3: AIRS scanning and coverage geometry.

AIRS coverage is pole-to-pole and covers the globe two times a day. Because the swaths (scanning sweeps) do not overlap at low latitudes, some points near the equator are missed. However, these points are eventually scanned within 2-3 days. As depicted on Figure 3, AIRS scans laterally with respect to its direction of flight. With the scanning angle being 49.5 degree about nadir, the swath width is 1650 km. One orbit period is 98.8 minutes [11].



### Satellite Observations for CMIP5 Simulations Example Technical Note – AIRS



#### 7. References

#### [1] http://disc.sci.gsfc.nasa.gov/AIRS/data-holdings

[2] Hartmut H. Aumann *et al.*, "AIRS/AMSU/HSB on the Aqua Mission: Design, Science Objectives, Data Products, and Processing Systems", IEEE TRANSACTIONS ON GEOSCIENCE AND REMOTE SENSING, VOL. 41, NO. 2, FEBRUARY 2003.

#### [3] Level-1B AIRS IR

[4] Level-2 Standard Products Quick Start

#### [5] Level-3 Standard 1x1° Gridded Products Quick Start

[6] V5\_CalVal\_Status\_Summary.pdf, page 7. Note: there are some errors in the document V5\_CalVal\_Status\_Summary.pdf, page 7. We corrected these errors in the present document.

[7] Joel Susskind et al. (2003), "Retrieval of Atmospheric and Surface Parameters From AIRS/AMSU/HSB Data in the Presence of Clouds", IEEE TRANSACTIONS ON GEOSCIENCE AND REMOTE SENSING, VOL. 41, NO. 2, page 390.

[8] Fetzer, E. J., et al., (2006), Biases in total precipitable water vapor climatologies from Atmospheric Infrared Sounder and Advanced Microwave Scanning Radiometer, J. Geophys. Res., 111, D09S16, doi:10.1029/2005JD006598.

[9] Murty G. Divakarla et al., "Validation of Atmospheric Infrared Sounder temperature and water vapor retrievals with matched radiosonde measurements and forecasts", JOURNAL OF GEOPHYSICAL RESEARCH, VOL. 111, D09S15, doi:10.1029/2005JD006116, 2006, page 18.

[10] Claire L. Parkinson, "Aqua: An Earth-Observing Satellite Mission to Examine Water and Other Climate Variables", IEEE TRANSACTIONS ON GEOSCIENCE AND REMOTE SENSING, VOL. 41, NO. 2, FEBRUARY 2003.

[11] http://airs.jpl.nasa.gov/instrument/coverage/

#### 8. Revision History

Rev 0 - Sunday, September 4, 2011



## Satellite Observations for Climate Modeling SUMMARY



 Pilot Project to establish a NASA-wide capability for the climate modeling community to support model-to-data intercomparison. This involves IT, satellite retrieval, data set, modeling and science expertise. Satellite observation data sets being published now.

### What Can ESDSWG Do?

- Think about the model analysis community as another major customer
- Learn how the modeling community uses observations for model evaluation
- Don't believe that you can teach them a better way of doing their work!
  - Field of Dreams is just a movie ...
- Recommend delivery of NASA products in their format (NetCDF, CF compliant)